

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Bomy Chen et al.

Group Art Unit: 2811

Serial No.: 10/653,015

Examiner: Donghee Kang

Filed: August 28, 2003

Customer No. 26379

Title: A SEMICONDUCTOR MEMORY ARRAY  
OF FLOATING GATE MEMORY CELLS WITH  
BURIED FLOATING GATE

Gray Cary Ware & Freidenrich LLP  
2000 University Avenue  
East Palo Alto, CA 94303-2248

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: MS Assignment Recordation Services, Director of the U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on: November 30, 2004.

 11/30/04  
Kathleen LaBrie

\* \* \*

**TRANSMITTAL**

MS Assignment Recordation Services  
Director of the U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:


Applicant previously submitted an assignment for recordation on December 31, 2003 which was recorded on January 2, 2004. While the assignee's names were spelled correctly in the assignment, on the recordation form coversheet the last assignee listed, Hieu Van Tran, was misspelled which resulted in a misspelling of the his name on the recorded assignment.

In order to correct the this assignee's name, enclosed is a resubmission of the recordation of assignment including a new recordation of assignment coversheet and a check in the amount of \$40.00, a copy of the recordation sheet received from the U.S.P.T.O., and a copy of the prior assignment as filed in the above-identified patent application.

If necessary, please charge any additional fees or credit any overpayments to Gray Cary Ware & Freidenrich LLP, deposit account 07-1896. A duplicate copy of this transmittal is enclosed.

Dated November 30, 2004

GRAY CARY WARE & FREIDENRICH LLP

By   
Alan A. Limbach  
Reg. No. 39,749  
Attorney for Applicant

Gary Cary Ware & Freidenrich LLP  
2000 University Avenue  
East Palo Alto, CA 94303-2248  
Telephone: 650-833-2433  
Facsimile: 650-833-2001

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Effective on 10/01/2004. Patent fees are subject to annual revision. <h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2005</h2>		<b>Complete If Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/653,015
		Filing Date	August 28, 2003
		First Named Inventor	Bomy Chen et al.
		Examiner Name	Donghee Kang
		Art Unit	2811
TOTAL AMOUNT OF PAYMENT		(\$40.00)	
		Attorney Docket No.	2102397-992750

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																		
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Deposit Account <input type="checkbox"/> None  Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">Gray Cary Ware &amp; Freidenrich LLP</span> Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">07-1896</span>  The Director is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Credit any overpayments to the above-identified deposit account. <input type="checkbox"/> Other (please identify): _____  <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	<h3>2. EXTRA CLAIM FEES</h3> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee (\$)</th> <th style="text-align: right;">Small Entity Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>Each claim over 20</td> <td style="text-align: right;">18</td> <td style="text-align: right;">9</td> </tr> <tr> <td>Each independent claim over 3</td> <td style="text-align: right;">88</td> <td style="text-align: right;">44</td> </tr> <tr> <td>Multiple dependent claims</td> <td style="text-align: right;">300</td> <td style="text-align: right;">150</td> </tr> <tr> <td>For Reissues, each claim over 20 and more than in the original patent</td> <td style="text-align: right;">18</td> <td style="text-align: right;">9</td> </tr> <tr> <td>For Reissues, each independent claim more than in the original patent</td> <td style="text-align: right;">88</td> <td style="text-align: right;">44</td> </tr> <tr> <td><b>Total Claims</b></td> <td style="text-align: right;"><b>Extra Claims</b></td> <td style="text-align: right;"><b>Fee (\$)</b></td> </tr> <tr> <td colspan="3"> <math>\text{HP} = \text{highest number of total claims paid for, if greater than 20}</math> </td> </tr> <tr> <td colspan="3"> <math>\text{HP} - 20 \text{ or HP} = \text{_____} \times \text{_____} = 0.00</math> </td> </tr> <tr> <td><b>Indep. 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FEE CALCULATION			
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Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility Filing Fee	790	395	_____
Design Filing Fee	350	175	_____
Plant Filing Fee	550	275	_____
Reissue Filing Fee	790	395	_____
Provisional Filing Fee	160	80	_____
<b>Subtotal (1) \$0.00</b>			

SUBMITTED BY			
Signature		Registration No. 39,749 (Attorney/Agent)	Telephone 650-833-2433
Name (Print/Type)	Alan A. Limbach		Date November 30, 2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 10/01/2004. Patent fees are subject to annual revision.

# FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**40.00**

## Complete If Known

Application Number	10/653,015
Filing Date	August 28, 2003
First Named Inventor	Bomy Chen et al.
Examiner Name	Donghee Kang
Art Unit	2811
Attorney Docket No.	2102397-992750

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order

☐ Deposit Account ☐ None

Deposit Account Number **Gray Cary Ware & Freidenrich LLP**

Deposit Account Name **07-1896**

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☐ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify): \_\_\_\_\_

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## FEE CALCULATION

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## FEE CALCULATION (continued)

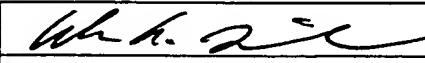
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